

You may work with a partner on this problem set. You need only turn in one solution. The multi-part problem has some challenging parts. Please start early.

**Question 1.** Given an undirected, bipartite graph  $G = (V, E)$  where  $V = L \cup R$  and all edges have exactly one endpoint in  $L$ , let  $M$  be a matching in  $G$ . We say that a simple path  $P$  in  $G$  is an augmenting path with respect to  $M$  if it starts at an unmatched vertex in  $L$ , ends at an unmatched vertex in  $R$ , and its edges belong alternately to  $E \setminus M$  and  $M$ . (This definition of an augmenting path mimics the idea of an augmenting path a flow network corresponding to the bipartite graph.) In this problem, we treat a path as a sequence of edges, rather than as a sequence of vertices. A shortest augmenting path with respect to a matching  $M$  is an augmenting path with a minimum number of edges.

Given two sets  $A$  and  $B$ , the symmetric difference  $A \oplus B$  is defined as  $(A \setminus B) \cup (B \setminus A)$ , that is, the elements that are in exactly one of the two sets.

- (a) Show that if  $M$  is a matching and  $P$  is an augmenting path with respect to  $M$ , then the symmetric difference  $M \oplus P$  is a matching and  $|M \oplus P| = |M| + 1$ . Show that if  $P_1, P_2, \dots, P_k$  are vertex-disjoint augmenting paths with respect to  $M$ , then the symmetric difference  $M \oplus (P_1 \cup P_2 \cup \dots \cup P_k)$  is a matching with cardinality  $|M| + k$ .

The general structure of our algorithm is the following:

---

**Algorithm 1** Hopcroft-Karp( $G$ )

---

**Require:** A bipartite graph  $G$

```

1:  $M \leftarrow \emptyset$ 
2: repeat
3:    $\mathcal{P} \leftarrow \{P_1, P_2, \dots, P_k\}$  be a maximal set of vertex-disjoint shortest augmenting paths with respect to  $M$ 
4:    $M \leftarrow M \oplus \{P_1 \cup P_2 \cup \dots \cup P_k\}$ 
5: until  $\mathcal{P} = \emptyset$ 
6: return  $M$ 

```

---

Note that a maximal set is one that cannot be extended, so a maximal set of vertex-disjoint shortest augmenting paths with respect to  $M$  is one in which there are no more augmenting paths which can be added to  $M$  and keep it vertex-disjoint. The remainder of this problem asks you to analyze the number of iterations in the algorithm (that is, the number of iterations in the **repeat** loop) and to describe an implementation of line 3.

- (b) Given two matchings  $M$  and  $M^*$  in  $G$ , show that every vertex in the graph  $G' = (V, M \oplus M^*)$  has degree at most 2. Conclude that  $G'$  is a disjoint union of simple paths or cycles. Argue that edges in each such simple path or cycle belong alternately to  $M$  or  $M^*$ . Prove that if  $|M| \leq |M^*|$ , then  $M \oplus M^*$  contains at least  $|M^*| - |M|$  vertex-disjoint augmenting paths with respect to  $M$ .

Let  $l$  be the length of a shortest augmenting path with respect to a matching  $M$ , and let  $P_1, P_2, \dots, P_k$  be a maximal set of vertex-disjoint augmenting paths of length  $l$  with respect to  $M$ . Let  $M' = M \oplus (P_1 \cup P_2 \cup \dots \cup P_k)$ , and suppose that  $P$  is a shortest augmenting path with respect to  $M'$ .

- (c) Show that if  $P$  is vertex-disjoint from  $P_1, P_2, \dots, P_k$ , then  $P$  has more than  $l$  edges.
- (d) Now suppose that  $P$  is not vertex-disjoint from  $P_1, P_2, \dots, P_k$ . Let  $A$  be the set of edges  $(M \oplus M') \oplus P$ . Show that  $A = (P_1 \cup P_2 \cup \dots \cup P_k) \oplus P$  and that  $|A| \geq (k + 1)l$ . Finally, show that  $P$  has more than  $l$  edges by arguing that  $P$  must not only share a node with  $P_1, P_2, \dots, P_k$ , but, in fact, an edge.
- (e) Prove that if a shortest augmenting path for  $M$  has length  $l$ , the size of the maximum matching is at most  $|M| + |V| / (l + 1)$ .
- (f) Show that the number of **repeat** loop iterations in the algorithm is at most  $2\sqrt{n}$ . (Hint: By how much can  $M$  grow after iteration number  $\sqrt{n}$ ?)
- (g) Give an algorithm that runs in  $O(m)$  time to find a maximal set of vertex-disjoint shortest augmenting paths  $P_1, P_2, \dots, P_k$  for a given matching  $M$ . As a hint, think about a breadth-first search that labels each node as either *EVEN* or *ODD* depending on when it is encountered. Conclude that the total running time of HOPCROFT-KARP is  $O(\sqrt{nm})$ .

**Question 2** (extra-credit). Implement Hopcraft-Karp and show empirically that it runs in  $O(\sqrt{nm})$  time.