# Analysis Techniques to Detect Concurrency Errors

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## Lecture Goals

- Enforcing concurrency properties
  - facilitates reasoning about correctness
  - race freedom, atomicity, determinism, cooperability
- Static and dynamic analyses
  - design space
  - implementation techniques
  - limitations
- Open research questions

### **Concurrent Programming Models**

• Shared memory and explicit threads / sync



- Others
  - message passing, transactions, ...

#### **Deterministic Parallelism**



#### Non-Deterministic Concurrency



## **Open Research Problems**

- Making concurrency/parallelism readily accessible to all programmers
- Developing programming models beyond shared memory
- How to write efficient multithreaded code
- How to write *correct* multithreaded code

## Thread Interference: Data Races

- Concurrent conflicting accesses
  - Two threads read/write, write/read, or write/ write the same location without intervening synchronization



## Thread Interference: Data Races

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#### **Thread Interference: Atomicity Violations**



#### Thread B

... acq(m); bal = 0 rel(m);



#### **Thread Interference: Ordering Violations**



#### **Thread Interference: Unintended Sharing**

```
void work() {
   static int local = 0;
   local++;
```



. . .

}

Thread B work();



#### Thread Interference: Deadlock

```
class Account {
```

```
int bal;
```

```
synchronized void deposit(int n) { bal = bal + n; }
```

```
synchronized void transfer(Account other, int n) {
   other.deposit(n);
   this.deposit(-n);
}
```



## **Data Race Detection**



Deadlocks and livelocks

#### **Thread Interference: Atomicity Violation**



#### **Thread Interference: Ordering Violations**



#### **Thread Interference: Unintended Sharing**

```
void work() {
   static int local = 0;
   local++;
```



. . .

}

Thread B work();



#### Are All Race Conditions Errors?

#### • Implementing flag synchronization

boolean done = false;

Thread A	Thread B
x = 1;	if (done) $t = x;$
done = true;	

• Implementing fast reads

int bal = 0;

```
Thread A Thread B
synchronized (m) { t = bal;
bal = bal + n;
}
```



• Implementing fast reads

```
volatile int bal = 0;
Thread A Thread B
synchronized (m) { t = bal;
bal = bal + n;
}
```

## Data Races and Memory Models



- Each processor/core has a cache
- When do writes to x become visible to other processors (threads)?

## Memory Models

- Sequential Consistency
  - Operations by threads are interleaved in some global sequential order.
  - A read yields the value most recently written to that location according to this order.
  - Simple, intuitive

## Java Example

int x; int y; Initially x == y == 0;

Thread AThread Bx = 10;r1 = y;y = 20;r2 = x;print r1 + r2;

#### What's Printed? 30? 20? 10? 0?

## Memory Models

- Sequential Consistency
  - Operations by threads are interleaved in some global sequential order.
  - A read yields the value most recently written to that location according to this order.
- Relaxed Models (JMM, x86-TSO, etc.)
  - writes may be buffered in caches
  - more than one value written to x may be visible
  - necessary for hardware performance
  - (also enables compiler optimizations)

#### Example

int x = 0; boolean done = false; Thread A Thread B x = 10; while (!done) { } done = true; print x;

int x = 0; volatile boolean done = false; Thread A Thread B x = 10; while (!done) { } done = true; print x;

## Why Look For Races?

- Programmers make errors leading to data races:
  - Missing locking
  - Missing "volatile" annotations

 Must know about races to reason about any more sophisticated concurrency property

#### • Memory Model Guarantee:

- Data-Race Freedom  $\rightarrow$  Seq. Consistent Behavior

## **Data Race Detection**

- Automated Tools to Find Data Races
  - Active area of research for > 20 years
  - More than 100 academic papers on the subject
- Key dimensions of the design space are not unique to data-race detection
  - type-checking
  - array-bounds
  - pointer errors
  - etc.

### Static Data Race Detection

#### • Advantages:

- Reason about all inputs/interleavings
- No run-time overhead
- Adapt well-understood static-analysis techniques
- Annotations to document concurrency invariants

## • Example Tools:

- RCC/Java
- CHESS
- ESC/Java

type-based state exploration "functional verification" (theorem proving-based)

## Static Data Race Detection

#### • Advantages:

- Reason about all inputs/interleavings
- No run-time overhead
- Adapt well-understood static-analysis techniques
- Annotations to document concurrency invariants
- Disadvantages of static:
  - Undecidable...
  - Tools produce "false positives" or "false negatives"
  - May be slow, require programmer annotations
  - May be hard to interpret results

## **Dynamic Data Race Detection**

- Advantages
  - Can avoid "false positives"
  - No need for language extensions or sophisticated static analysis
- Disadvantages
  - Run-time overhead (5-20x for best tools)
  - Memory overhead for analysis state
  - Reasons only about observed executions
    - sensitive to test coverage
    - (some generalization possible...)

## Dynamic Analysis Design Space

- Soundness
  - every actual data race is reported
- Completeness
  - all reported warnings are actually races
- Coverage
  - generalize to additional traces?
- Overhead
  - run-time slowdown
  - memory footprint
- Programmer overhead

## **Overview of Analysis Techniques**

- Lamport's Happens-Before Relation [Lamport 78]
  - enables precise definition of data race
- Four points in design space
  - 1. LockSet
  - 2. Vector Clocks
  - 3. Hybrid LockSet/VC
  - 4. FastTrack

## Happens-Before

- Event Ordering:
  - program order
  - synchronization order
  - transitivity
- Types of Data Races:
  - Write-Write
  - Write-Read
    - (write then read)
  - Read-Write
    - (read then write)





## Dynamic Data Race Detection





## Dynamic Data Race Detection



## **Approximating Happens-Before**

- Track *lockset* for each memory location
  - LockSet(x): set of locks held on all accesses to location x



If LockSet(x) is empty:



## Lockset Example

#### Thread A Thread B synchronized(x) { synchronized(y) { o.f = 2;o.f = 2;} } o.f = 11;} • First access to o.f:

synchronized(y) {
# Lockset Example

#### Thread A

```
synchronized(x) {
    synchronized(y) {
        o.f = 2;
    }
    o.f = 11;
}
```

#### Thread B

synchronized(y) {
 o.f = 2;
}

Subsequent access to o.f:
 LockSet(o.f) := LockSet(o.f) ∩ Held(curThread)
 = { x, y } ∩ { x } = { x }

# Lockset Example

# Thread A synchronized(x) { synchronized(y) { o.f = 2; } o.f = 11; } Thread B synchronized(y) { o.f = 11; }

## Subsequent access to o.f: LockSet(o.f) := LockSet(o.f) ∩ Held(curThread) = { x } ∩ { y } = { } DATA RACE!

# Lockset Properties

- Relatively good performance (slowdowns < ~15x)</li>
- Sound:

No warnings → data-race-free execution • Incomplete:

Warning  $\bigotimes$  data race on execution

- thread-local data, read-shared data, etc

#### Per-Variable State Machine



## Lockset Properties

- Extensions help reduce false alarms but
  - introduce (rare) unsoundnesses
  - and still not complete ...

```
boolean ready = false;
int data = 0;
```

Thread A	Thread B
data = $42;$	<pre>sync(m) {</pre>
<pre>sync(m) {</pre>	<pre>tmp = ready;</pre>
<pre>ready = true;</pre>	}
}	if(tmp)
	print(data)





Precise Happens-Before















A's local time B's local time



B-steps with B-time ≤ 1 happen before A's next step













# VectorClocks for Data-Race Detection

- Sound
  - No warnings  $\rightarrow$  data-race-free execution
- Complete
  - Warning → data-race exists
- Slow performance
  - (slowdowns > 50x)



# **Combined Approaches**

- MultiRace [PS 03,07]
  - Use LockSet for x
  - Switch to VC if LockSet becomes empty
  - (adaptive granularity as well)
- RaceTrack [YRC 05]
  - Use Locket for x with extensions to Eraser state machine.
  - Use VCs to reason about fork/join and wait/ notify







Cost



#### Write-Write and Write-Read Data Races



#### No Data Races Yet: Writes Totally Ordered



#### No Data Races Yet: Writes Totally Ordered









#### Read-Write Data Races -- Ordered Reads



Most common case: thread-local, lock-protected, ...

#### Read-Write Data Races -- Unordered Reads












#### Slowdown (x Base Time)



## Memory Usage

• FastTrack allocated ~200x fewer VCs

Checker	Memory Overhead
Basic VC, DJIT+	7.9x
FastTrack	2.8x
Empty	2.0x

(Note: VCs for dead objects are garbage collected)

- Improvements
  - accordion clocks [CB 01]
  - analysis granularity [PS 03, YRC 05]

#### Precise Data Race Classification for Other Checkers



and ~40% reduction in false alarms in Atomizer...

# Eclipse 3.4

- Scale
  - > 6,000 classes
  - 24 threads
  - custom sync. idioms
- Precision (tested 5 common tasks)
  - Eraser: ~1000 warnings
  - FastTrack: ~30 warnings
- Performance on compute-bound tasks
  - > 2x speed of other precise checkers
  - same as Eraser



#### Verifying Race Freedom with Types

```
class Ref {
  int i;
  void add(Ref r) {
    i = i + r.i;
  }
}
Ref x = new Ref(0);
Ref y = new Ref(3);
parallel {
   sync(x,y) \{ x.add(y); \}
   sync(x,y) \{ x.add(y); \}
}
assert x.i == 6;
```

**Property:** Each shared variable must be protected by a lock.

#### Verifying Race Freedom with Types

```
Property: Each
class Ref {
                             shared variable
 int i guarded by this;
 void add(Ref r) requires this, r {
                             must be protected
   i = i + r.i;
                             by a lock.
 }
}
Ref x = new Ref(0);
Ref y = new Ref(3);
parallel {
  sync(x,y) \{ x.add(y); \}
  }
assert x.i == 6;
```

#### **Client-Side Locking**

```
class Ref<ghost g> {
  int i guarded by g;
  void add(Ref<g> r) requires g {
     i = i + r.i;
   }
}
Object m = new Object();
Ref\langle m \rangle x = new Ref\langle m \rangle(0);
Ref \langle m \rangle y = new Ref \langle m \rangle (3);
parallel {
    sync(m) \{ x.add(y); \}
    sync(m) \{ x.add(y); \}
}
assert x.i == 6;
```

#### Static Race Detection In Practice

- Rcc/Java [Flanagan-Freund 00-06]
- Other Systems
  - Ownership types [Boyapati et al 01]
  - RacerX [Engler-Ashcraft 02]
  - Chord [Naik et al 06]
  - Object Use Graphs [vonPraun-Gross 03]
- Limitations
  - scalability
  - unsound or incomplete

# Static Analysis to Optimize Dynamic Checks



#### **Release-Free Spans**



- Sequence of ops by one thread
- No outgoing edges
  - eg: no releases,
     forks, waits, ...
- If B races with C then A races with C
- Race check on B is redundant

### RedCard: Redundant Check Elimination [ECOOP 2013]

- Find accesses always touching memory previously accessed within current release-free span
- Remove checks on those accesses



- No change in precision
  - No missed races
  - No spurious warnings

### Other Uses of Similar Notions

- Interference-Free Regions [Effinger-Dean et al 11, 12]
  - compiler optimizations, imprecise race detection
- Similar optimizations for specific race detection algorithms
  - Eraser-based [vonPraun-Gross 02, Choi et al 03]
  - X10 task parallelism [Raman et al 10]
- RedCard
  - works with any precise race detector
  - more sophisticated (but expensive) analysis
  - extensions for additional forms of redundancy

## Available Paths Analysis

- For each program point, compute Context
  - Available Paths: expressions describing memory previously accessed in current span



(for simplicity, assume no distinction between reads and writes)

## **Must Aliases**



 $\{z.g, \quad x = z.g, \quad y = z.g\} \xrightarrow{-} t1 = x.h^{\text{Check}}; \\ \{z.g, \quad x.h, \quad x = z.g, \quad y = z.g\} \xrightarrow{-} t2 = y.h^{\text{NoCheck}};$ 

- Implement via any sound decision proc. (Z3)
- Similar to type state tracking [Fink et al 08]

#### **Redundant Array Accesses**

```
for (int i = 0; i < a.length; i++) {
    a[i]<sup>Check</sup> = ...;
}
for (int i = 0; i < a.length; i++) {
    a[i]<sup>NoCheck</sup> = ...;
}
```

- Context extensions
  - Paths for array accesses
    - single: a[i]
    - range:  $\forall$  (i  $\in$  0 to n).a[i]
  - Linear inequalities





$$i = 0;$$
  

$$i = 0;$$
  
while (i < a.length) {  

$$\forall (j \in 0 \text{ to } i).a[j]$$

$$a[i]^{Check} = 0;$$
  

$$i < a.length$$

$$a[i]$$

$$\forall (j \in 0 \text{ to } i).a[j]$$

$$i = i + 1;$$
  

$$i = i + 1;$$
  

$$i = i + 1;$$
  

$$\forall (j \in 0 \text{ to } i').a[j]$$

$$\downarrow$$
  

$$\forall (j \in 0 \text{ to } a.length).a[j]$$

$$a[k]^{NoCheck} = 1;$$

### **RedCard Implementation for Java**

- WALA framework for Java bytecode [IBM]
  - Dataflow analysis over SSA-based CFGs
  - Z3 [deMoura-Bjørner 08] to reason about Contexts
- Infers and outputs list of "NoCheck" accesses
- Two Modes
  - Intra-procedural
  - Inter-procedural (O-CFA, CHA)
- Analysis Time: ~18 sec per KLOC

#### % of Run-time Accesses Checked



FastTrack RedCard

## **Proxy Fields**

 Field y has proxy field x if all spans accessing p.y also access p.x

> If p.y has race then p.x has race

- Label p.y as "NoCheck"
- Still identify all traces with data races

```
class Point {
  private int x,y;
  void move() {
      this.x<sup>Check</sup> = ...;
      this.y<sup>NoCheck</sup> = ...;
   int dot(Point o) {
      return
            this.x<sup>Check</sup>
              * o.x<sup>Check</sup>
         + this.y<sup>NoCheck</sup>
              * o.y<sup>NoCheck</sup>;
   int getX() {
      return this.x<sup>Check</sup>;
```

### Array Proxies

• Array element can be proxy for other elements

- RedCard identifies common array proxy patterns
- b[j] is "NoCheck" if b[j] has proxy other than itself
  - may-alias info about b computed by separate analysis

#### % of Run-time Accesses Checked







## Where To Go From Here?

- Static Race Checking Analysis
- Performance (goal is always-on precise detection...)
  - HW support
  - static-dynamic hybrid analyses
  - sampling
- Coverage
  - symbolic model checking, specialized schedulers
- Classify malignant/benign data races
  - which data races are most critical?
- How to respond to data races? warn/fail-fast/recover?
- Reproducing traces exhibiting rare data races
  - record and replay
- Generalization: reason about traces beyond the observed trace

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## Key References

- Cormac Flanagan and Stephen N. Freund, "Adversarial memory for detecting destructive races", PLDI 2010.
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## Jumble: Diagnosing Bad Races

- FastTrack finds real race conditions
  - races correlated with defects
  - cause unintuitive behavior, especially on relaxed memory models
  - but some are intentional/benign...
- Which race conditions are **real bugs**?
  - that cause erroneous behaviors (crashes, etc)
  - and are not "benign race conditions"

#### **Controlling Scheduling Non-Determinism**



(eg: CalFuzzer)
# Adversarial Memory [PLDI 2010]



Adversarial memory exploits memory nondeterminism.

Racy read sees old value likely to crash application.

complements schedule-based approaches, quite effective.



#### Sequentially Consistent Memory Model

```
int x = 10;
x = 0;
fork{ if (x != 0) x = 50/x; }
x = 42;
```



- Intuitive memory model
- Each read sees most recent write
- (No memory caches)

$$x = 10 
x = 0 
fork 
x = 42 
r = x 
r != 0? 
r = x 
r = 50/r 
x = r$$

#### Jumble

int x = 10;x = 0;fork{ if (x != 0) x = 50/x; } x = 42;



**Record**:

- write buffer for racy vars
- happens-before relation

At each read:

- determine visible writes
- return old writes to crash app with higher probability than typical memory impl.

### Jumble Precision: failures out of 100 runs

Benchmark: racy field	No Jumble	SC	Oldest	Oldest but diff	Random	Random but diff
montecarlo: DEBUG	0	0	0	0	0	0
mtrt: threadCount	0	0	0	0	0	0
point: p	0	0	0	0	0	0
point: x	0	0	60	52	32	30
point: y	0	0	48	53	27	30
jbb: elapsed_time	0	0	100	0	15	5
jbb: mode	0	0	100	100	95	98
raytracer:checksum1	0	0	100	100	100	100
sor: arrays	0	0	100	100	100	100
lufact: arrays	0	0	100	100	100	100
moldyn: arrays	0	0	100	100	100	100
tsp: MinTourLen	0	0	100	100	100	100



- 27 racy fields (found with FastTrack)
- ran Jumble manually once for each field
- found 4 destructive races

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### **Approximating Redundancy**

- Record execution trace
- Annotate accesses in source based on dynamic occurrences in trace.



### **Approximating Redundancy**

- Record execution trace
- Annotate accesses in source based on dynamic occurrences in trace.

<pre>sync(m) {   t = x.f<sup>NonRedundant</sup>;   t = x.f<sup>Redundant</sup>;</pre>	<pre>sync(m) {    t = x.f<sup>Check</sup>;    t = x.f<sup>NoCheck</sup>;</pre>
<pre>t = y.f<sup>Redundant</sup>;</pre>	t = y.f <sup>Check</sup> ;
<pre>} t = x.f<sup>NonRedundant</sup>;</pre>	<pre>} t = x.f<sup>Check</sup>;</pre>

Compare to RedCard annotations

 NoCheck Accesses ⊆ Redundant Accesses

#### % of Run-time Accesses Checked Using RedCard



Dynamically NonRedundant Dyr

Dyn. Redundant But Checked

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## Increasing Redundancy

• Unroll first iteration of loops [Choi et al 03]



- Other transformations:
  - method specialization
  - redundant synchronization elimination

#### % of Run-time Accesses Checked





